

Prospective Seller and/or Servicer Application

Companies seeking to become approved Fannie Mae sellers or servicers must fully complete this Application and submit it via email to sellerservicer_application@fanniemae.com. All information must be provided on this Application (or on supplemental pages if more space is needed). However, do not submit any supporting documentation at this time (such as written procedures or financial information).

Upon receipt of the Application, Fannie Mae will review it, contact the Company's senior management to discuss it, and may request additional information as part of or in connection with the Application process. Submit questions to sellerservicer_application@fanniemae.com.

Section I: Company Information			
Company Name (Legal name as shown on articles of incorporation, formation, or organization, or most current state or federal charter: Text box			
Corporate Street Address: Text box	City: Text box	State: Drop-down box, state selections	Zip Code: Five-digit number box
Mailing Street Address (if different): Text box	City: Text box	State: Drop-down box, state selections	Zip Code: Five-digit number box
State of Incorporation: Drop-down box, state selections	Date of Incorporation: Two-digit number box / Two-digit number box / Four-digit number box	UCDP-only Number: Five-digit number box	DU-only Number (if applicable): Five-digit number box
Minority-Owned Business: Are you a minority-owned business?	<p>Definition of minority-owned business (as defined by the Minority Women Inclusion Rule (MWI Rule) includes, but is not limited to, financial institutions, firms engaged in mortgage banking, investment banking, financial services, and asset management, investment consultants or advisors, underwriters, accountants, brokers, broker-dealers, and providers of legal services. The following must be met:</p> <ul style="list-style-type: none"> More than fifty percent (50%) of the ownership or control of which is held, directly or indirectly, by one or more minority individuals; <i>and</i> More than fifty percent (50%) of the net profit or loss of which accrues to one or more minority individuals. 		
	Black or African American check box Native American or American Indian check box Hispanic or Latino American check box	Asian Pacific check box Subcontinent Asian check box N/A check box	
Women-Owned Business: Are you a women-owned business?	<p>Definition of women-owned business (as defined by the MWI Rule) includes, but is not limited to, financial institutions, firms engaged in mortgage banking, investment banking, financial services, and asset management, investment consultants or advisors, underwriters, accountants, brokers, broker-dealers, and providers of legal services. It means a business in which:</p> <ul style="list-style-type: none"> One or more women hold more than 50 percent of the ownership or control; <i>and</i> More than 50 percent of the net profit or loss accrues to one or more women. 		
	Yes check box	No check box	

<p>Disabled-Owned Business:</p> <p>Are you a disabled-owned business?</p>	<p>Definition of disabled-owned business (as defined by MWI Rule) includes, but is not limited to, financial institutions, firms engaged in mortgage banking, investment banking, financial services, asset management, investment consultants or advisors, underwriters, accountants, brokers, broker-dealers, and providers of legal services. It means a business is qualified as a, or a business in which:</p> <ul style="list-style-type: none"> • Qualified as a Service-Disabled Veteran-Owned Small Business Concern as defined in 13 CFR 125.8 through 125.13; <i>or</i> • More than fifty percent (50%) of the ownership or control of which is held, directly or indirectly, by one or more persons with a disability; <i>and</i> • More than 50 percent of the net profit or loss accrues to one or more persons with a disability. <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>		
<p>Veteran-Owned Business:</p> <p>Are you a veteran-owned business?</p>	<p>Definition of veteran-owned business includes, but is not limited to, financial institutions, firms engaged in mortgage banking, investment banking, financial services, asset management, investment consultants or advisors, underwriters, accountants, brokers, broker-dealers, and providers of legal services. It means a business is qualified as a, or a business in which:</p> <ul style="list-style-type: none"> • More than fifty percent (50%) of the ownership or control of which is held, directly or indirectly, by one or more veterans as defined in section 101(2) of title 38, U.S. Code; <i>and</i> • More than fifty percent (50%) of the net profit or loss of which accrues to one or more veterans. <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>		
<p>Company Contact Name:</p>	<p>Title:</p>	<p>Email Address:</p>	<p>Telephone Number:</p>
<p>Alternate Contact Name:</p>	<p>Title:</p>	<p>Email Address:</p>	<p>Telephone Number:</p>
<p>Briefly describe the company's business plan and purpose for applying for Fannie Mae approval:</p>	<p></p>		
<p>Are you working with an industry consultant?</p>	<p>Yes <input type="checkbox"/></p>	<p>Name of Consultant/Company:</p>	
	<p>Yes <input type="checkbox"/></p>	<p>Name of Consultant/Company:</p>	
<p>Are you planning on merging with a Fannie Mae approved Seller/Service?</p>	<p>Yes <input type="checkbox"/></p>	<p>Name of that Company:</p>	
<p>Provide the following information for each active affiliate (parent company, subsidiary, or entity with common ownership) engaged in mortgage lending or servicing, if any. If there are more than two active affiliates, attach a document for additional affiliates.</p>		<p>Name:</p>	<p>If Fannie Mae approved, provide Seller/Service number:</p>
		<p>Name:</p>	<p>If Fannie Mae approved, provide Seller/Service number:</p>
<p>List your company's principal officers, titles, and primary area(s) of responsibility, e.g., Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Risk Officer, Chief Information Officer, Chief Administrative Officer, etc.</p>			

Name:	Title:	Primary Responsibility:	Email Address:	Telephone Number:
Text box	Text box	Text box	Text box	Number box
Text box	Text box	Text box	Text box	Number box
Text box	Text box	Text box	Text box	Number box
Text box	Text box	Text box	Text box	Number box
Text box	Text box	Text box	Text box	Number box
Text box	Text box	Text box	Text box	Number box

List your company's (the applicant) direct owner(s) (corporation or individuals with more than 5 percent ownership interest) and the ownership interest. If a partnership, list the partners, ownership interest, and whether they are a managing partner or general partner.

Principal Owner/Partner Name:	Country of Residence:	Ownership Interest:	If Partnership, Type of Partner:		
Text box	Text box	Number box %	N/A <input type="checkbox"/>	Managing Partner <input type="checkbox"/>	General Partner <input type="checkbox"/>
Text box	Text box	Number box %	N/A <input type="checkbox"/>	Managing Partner <input type="checkbox"/>	General Partner <input type="checkbox"/>
Text box	Text box	Number box %	N/A <input type="checkbox"/>	Managing Partner <input type="checkbox"/>	General Partner <input type="checkbox"/>
Text box	Text box	Number box %	N/A <input type="checkbox"/>	Managing Partner <input type="checkbox"/>	General Partner <input type="checkbox"/>
Text box	Text box	Number box %	N/A <input type="checkbox"/>	Managing Partner <input type="checkbox"/>	General Partner <input type="checkbox"/>

Has your company or have any of your principal officers, directors, partners, or owners of a 5% or more interest ever been:

a) Convicted of a crime or names in a pending criminal proceeding (excluding traffic violations or other minor offenses)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes, describe: <input type="text"/>		
b) Subject to any order, judgement, or decree enjoining the engagement in any activities in connection with any type of business transaction (including the purchase or sale of a security) or acting as (or as an associated or affiliated person of) an investment adviser, underwriter, broker, dealer, financial institution, or any other business?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes, describe: <input type="text"/>		
c) Suspended, terminated, debarred, or denied approval by HUD, GNMA, FHA/VA, or any mortgage insurance company, warehouse lender, secondary market investor (including Fannie Mae or Freddie Mac), conduit, or correspondent?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes, describe: <input type="text"/>		
d) Insolvent, declared bankrupt, liquidated, denied fidelity insurance coverage or mortgagee's errors and omissions insurance coverage, made a general assignment for the benefit of creditors, or suffered or permitted the appointment of a receiver for its business or assets	Yes <input type="checkbox"/>	No <input type="checkbox"/>

If yes, describe:		Text box							
Have any of the following types of actions or proceedings against the company, or any of the principal officers, directors, partners, or owners of a 5 % or more interest, been threatened, pending, or concluded within the past 24 months?									
a) Any investigations of the company by state or federal banking regulators, attorneys general, or consumer affairs or civil rights agencies, including but not limited to, U.S. Department of Justice, the Federal Trade Commission, the U.S. Department of Housing and Urban Development (HUD), federal regulators, or comparable state agencies?							Yes check box	No check box	
If yes, describe:		Text box							
b) Any litigation alleging fair lending violations (Fair Housing Act and/or ECOA), predatory lending, or claims under HOEPA or state anti-predatory lending statutes?							Yes check box	No check box	
If yes, describe:		Text box							
c) Any litigation, actions, claims, inquiries, investigations, or other proceedings at law or in equity which reasonably may be expected to result in any material adverse change in the business, operations, assets, or condition of the company?							Yes check box	No check box	
If yes, describe:		Text box							
d) Any review, investigation, or sanction of the company for compliance failures related to the anti-money laundering requirements of the Bank Secrecy Act?							Yes check box	No check box	
If yes, describe:		Text box							
e) Within the past 12 months, has the company experienced a significant security breach of its systems and/or a privacy-related breach that required the company to send consumer notifications to affected individuals?							Yes check box	No check box	
If yes, describe:		Text box							
Company Type (Select one):		Bank/Thrift	Credit Union	Mortgage Banker	Housing Finance Agency	Other (specify):			
Company Regulator (Select one):		FDIC	NCUA	OCC	State	N/A			
		check box	check box	check box	check box	check box			
Section II: Approval Request Information									
Approval Request for First Mortgages. Select one :									
Seller-only, Servicing Released							Yes	check box	
Seller and Servicer							Yes	check box	
Servicer-only							Yes	check box	
Via Fannie Mae's Servicing Marketplace (SMP) program?						Yes	check box	No	check box
Via an executed agreement(s) your company has with a Fannie Mae approved servicer(s) to purchase the MSR(s)?				Yes	check box	Name of Fannie Mae-approved servicer(s):		No	check box
						Text box			

Will your company service the mortgage servicing rights of the loans sold to Fannie Mae in-house?	Yes <input type="checkbox"/>	Specify name of your company's servicing system: <input type="text"/>	No <input type="checkbox"/>
Is your company currently servicing loans?	Yes <input type="checkbox"/>	If yes, for how many years? <input type="text"/>	No <input type="checkbox"/>
Will your company utilize a servicer to service the mortgage servicing rights of the loans sold to Fannie Mae?	Yes <input type="checkbox"/>	Specify the full legal name of the Fannie Mae-approved servicer with which your company has an executed subservicing agreement to perform the servicing duties on your company's behalf: <input type="text"/>	No <input type="checkbox"/>
If utilizing a servicer, do you intend to perform any servicing functions in-house?	If yes, specify which functions: <input type="text"/>		No <input type="checkbox"/>
Is your company currently servicing its own portfolio?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
For which investors is your company currently servicing loans?	Freddie Mac <input type="checkbox"/>	FHLB <input type="checkbox"/>	Other investors <input type="checkbox"/> If others, specify: <input type="text"/>
Serviced in-house by your company:	UPB \$ <input type="text"/>	Loan Count: <input type="text"/>	Overall serious delinquency rate: <input type="text"/> %
Subserviced for your company:	UPB \$ <input type="text"/>	Loan Count: <input type="text"/>	Overall serious delinquency rate: <input type="text"/> %
Provide the total volume of servicing for each category, below. Note, Other Servicing refers to fixed-rate and adjustable-rate single-family (1-4 units); closed-end second liens; funding HELOCs, reverse mortgages; construction-to-permanent; multi-family (5+ units), insured by FHA, VA, and agencies; commercial mortgages.			
Your company's MSR's owned, including Other Servicing:	UPB \$ <input type="text"/>	Loan Count: <input type="text"/>	Overall serious delinquency rate: <input type="text"/> %
Freddie Mac loans:	UPB \$ <input type="text"/>	Loan Count: <input type="text"/>	Overall serious delinquency rate: <input type="text"/> %
Federal Home Loan Bank loans:	UPB \$ <input type="text"/>	Loan Count: <input type="text"/>	Overall serious delinquency rate: <input type="text"/> %
Ginnie Mae loans:	UPB \$ <input type="text"/>	Loan Count: <input type="text"/>	Overall serious delinquency rate: <input type="text"/> %
Private label securities (PLS), including Other Servicing:	UPB \$ <input type="text"/>	Loan Count: <input type="text"/>	Overall serious delinquency rate: <input type="text"/> %
Section III: Financial Condition Information			
What is your company's audited financial statements year-end?	Month: <input type="text"/>	Date: <input type="text"/>	

	YTD (month-end or quarter-end)	Fiscal Year-End	Fiscal Year-End	Fiscal Year-End
Enter all data in thousands (000)	As of: ___/___/___ Two-digit, two-digit, four-digit number boxes	As of: ___/___/___ Two-digit, two-digit, four-digit number boxes	As of: ___/___/___ Two-digit, two-digit, four-digit number boxes	As of: ___/___/___ Two-digit, two-digit, four-digit number boxes
Total Assets	\$ Whole number box	\$ Whole number box	\$ Whole number box	\$ Whole number box
GAAP Net Worth	\$ Whole number box	\$ Whole number box	\$ Whole number box	\$ Whole number box
Less:				
Goodwill and other Intangibles (excluding MSRs)	\$ Whole number box	\$ Whole number box	\$ Whole number box	\$ Whole number box
Affiliate Receivables	\$ Whole number box	\$ Whole number box	\$ Whole number box	\$ Whole number box
Pledged Assets Net of Associated Liabilities	\$ Whole number box	\$ Whole number box	\$ Whole number box	\$ Whole number box
Adjusted Net Worth	\$ Whole number box	\$ Whole number box	\$ Whole number box	\$ Whole number box
Net Income or (Loss)	\$ Whole number box	\$ Whole number box	\$ Whole number box	\$ Whole number box
Capital Ratios for Commercial Banks and Thrifts:				
Total Risk-Based Capital (minimum 10%)	Three-digit number box %	Three-digit number box %	Three-digit number box %	Three-digit number box %
Tier 1 Risk-Based Capital (minimum 6%)	Three-digit number box %	Three-digit number box %	Three-digit number box %	Three-digit number box %
Tier 1 Common Risk-Based Capital (minimum 6.5%)	Three-digit number box %	Three-digit number box %	Three-digit number box %	Three-digit number box %
Tier 1 Leverage Capital (minimum 5%)	Three-digit number box %	Three-digit number box %	Three-digit number box %	Three-digit number box %
Capital Ratios for all Others:				
Tangible Net Worth/Total Assets (minimum 7% for credit unions, 6% for all others)	Three-digit number box %	Three-digit number box %	Three-digit number box %	Three-digit number box %
Has your company been submitting financial reports via one of these systems? Select one :	FDIC Call Report <input type="checkbox"/>	NCUA Call Report <input type="checkbox"/>	MBFRF <input type="checkbox"/> (Required for all companies that are not a depository institution.)	N/A <input type="checkbox"/>
Current Warehouse Lines of Credit:	Line Limit: \$ Whole number box	Warehouse Lender Name: Text box		
Note, the warehouse line section does not apply if your company is a	Line Limit: \$ Whole number box	Warehouse Lender Name: Text box		
	Line Limit: \$ Whole number box	Warehouse Lender Name: Text box		
	Line Limit: \$ Whole number box	Warehouse Lender Name: Text box		

depository institution, e.g., commercial bank, credit union, or thrift.		Line Limit: \$ Whole number box		Warehouse Lender Name: Text box	
Provide your company's Fidelity Bond insurance coverage:		Coverage Amount: \$ Whole number box	Deductible Amount: \$ Whole number box	Carrier Name: Text box	
Provide your company's Errors and Omissions insurance coverage:		Coverage Amount: \$ Whole number box	Deductible Amount: \$ Whole number box	Carrier Name: Text box	
Note, Directors & Officers coverage is not acceptable in lieu of errors and omissions.					
Section IV: Mortgage Loan Origination Information					
What annual loan volume do you anticipate selling to Fannie Mae in the first 12 months?			\$ Whole number box		
How long has the company been originating mortgage loans, if applicable?			Three-digit number box years		Two-digit number box months
How long has the company been acquiring closed mortgage loans, if applicable?			Three-digit number box years		Two-digit number box months
Does your company close mortgage loans in its own name?		Yes check box	No check box	If no, specify name: Text box	
Does your company use the Mortgage Electronic Registration System (MERS) to record mortgage loan documents?				Yes	No
What are your company's origination channel(s):		Retail box %	Three-digit number box %	Wholesale number box %	Three-digit number box %
What are your company's current secondary market outlet(s)?		Freddie Mac check box	Federal Home Loan Bank (FHLB) check box	Aggregators check box	
If originating mortgage loans, does your company have delegated underwriting authority?		Yes check box	If yes, for how many years? Three-digit number box		No check box
If originating mortgage loans, what loan origination system does your company use?		Text box			
If originating mortgage loans, what automated underwriting system is your company currently using?		Desktop Originator® box	check	Desktop Underwriter® box	check
What is your company's average monthly single-family mortgage loan production (loan count) and/or acquisitions during the last 12 months?		Conventional QM: Whole number box	Government: Whole number box	Jumbo: Whole number box	Other and non-QM: Whole number box
Provide the following data:		Average single-family mortgage loan size \$ Whole number box	Average LTV? Three-digit number box	Average borrower credit score? Three-digit number box	
What is your company's single-family mortgage product mix?		Fixed rate: Three-digit number box %	Adjusted rate: Three-digit number box %	If other, specify type: Three-digit number box %	
What are your company's mortgage loan financing options?			Purchase: Three-digit number box %	Refinance: Three-digit number box %	
What are your company's mortgage loan types?		Agency Eligible/Qualified Mortgage: Three-digit number box %	Other/Non-QM: Three-digit number box %		

List the states in which your company is originating and/or acquiring mortgage loans:		Text box							
Is your company licensed in all the above states?		Yes <input type="checkbox"/>		No <input type="checkbox"/>		If no, explain: Text box			
Has your company ever had a state license suspended or revoked?		Yes <input type="checkbox"/>		If yes, explain: Text box				No <input type="checkbox"/>	
Does your company hedge its origination pipeline?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Via MBS forwards? <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Via TBA forwards? <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Is your company currently engaged in securitizing mortgages loans?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Via Agency MBS?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If yes, which Agency?	Text box		
Provide the mortgage loan repurchase demands your company received:	Current \$ Whole number box		Current Unit Count: number box		Three-digit	Last 12 months \$ Whole number box		Last 12 months Unit Count: Three-digit number box	
Provide your company's single-family first mortgage loan origination and/or acquisition volume , by product type , for each of the last two years.									
Year Ended: Drop-down box, four-digit year selection					Year Ended: Drop-down box, four-digit year selection				
Product Type:	UPB:	Loan Count:			Product Type:	UPB:	Loan Count:		
Conventional QM Fixed-Rate	\$ Whole number box	Number box			Conventional QM Fixed-Rate	\$ Whole number box	Number box		
Conventional QM Adjustable-Rate	\$ Whole number box	Number box			Conventional QM Adjustable-Rate	\$ Whole number box	Number box		
Government	\$ Whole number box	Number box			Government	\$ Whole number box	Number box		
Jumbo	\$ Whole number box	Number box			Jumbo	\$ Whole number box	Number box		
Other and non-QM	\$ Whole number box	Number box			Other and non-QM	\$ Whole number box	Number box		
Provide your company's single-family first mortgage loan production volume sold , by investor type , for each of the last two years.									
Year Ended: Four-digit number box					Year Ended: Four-digit number box				
Investor:	UPB:	Loan Count:			Investor:	UPB:	Loan Count:		
GSEs (Freddie Mac, FHLB)	\$ Whole number box	Number box			GSEs (Freddie Mac, FHLB)	\$ Whole number box	Number box		
Non-Affiliates	\$ Whole number box	Number box			Non-Affiliates	\$ Whole number box	Number box		
Affiliates	\$ Whole number box	Number box			Affiliates	\$ Whole number box	Number box		
Held in Portfolio or for Investment	\$ Whole number box	Number box			Held in Portfolio or for Investment	\$ Whole number box	Number box		
UPB of mortgage loans held for sale:	\$ Whole number box				UPB of mortgage loans held for sale:	\$ Whole number box			
UPB of interest rate lock commitments with investor(s):	\$ Whole number box				UPB of interest rate lock commitments with investor(s):	\$ Whole number box			
Section V: Mortgage Loan Servicing Information									

List the state(s) in which your company owns or will own the mortgage servicing rights and/or services or will service mortgage loans:	Text box		
Is your company licensed in all the above states?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If no, explain: Text box
Has your company ever had a state license suspended or revoked?	Yes <input type="checkbox"/>	If yes, explain: Text box	
No <input type="checkbox"/>			

Provide your company's single-family loan **delinquency volume**, as of the **calendar year end**, for each of the last two years.

Year Ended: Four-digit number box			Year Ended: Four-digit number box		
Delinquency:	UPB:	Loan Count:	Delinquency:	UPB:	Loan Count:
30 Days:	\$ Whole number box	Number box	30 Days:	\$ Whole number box	Number box
60 Days:	\$ Whole number box	Number box	60 Days:	\$ Whole number box	Number box
90+ Days:	\$ Whole number box	Number box	90+ Days:	\$ Whole number box	Number box
In Foreclosure:	\$ Whole number box	Number box	In Foreclosure:	\$ Whole number box	Number box
In Bankruptcy:	\$ Whole number box	Number box	In Bankruptcy:	\$ Whole number box	Number box
REO:	\$ Whole number box	Number box	REO:	\$ Whole number box	Number box

Section VI: Operations Information

Functional Area	Response	Number of Employees	Department Lead's Name	Lead's Years of Experience	Lead's Title	Lead's Email	Lead's Telephone
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Does your company have **experienced personnel** in **each** of the following key areas?

Bank Secrecy Act / Anti-Money Laundering Oversight	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Internal Audit	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Vendor Management	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box

If applying for **Seller approval**, does your company also have **experienced personnel** in **each** of the following key areas?

Underwriting	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Appraisal Management	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Prefunding Quality Control <i>or</i> Pre-Purchase Quality Control	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Post-Closing Quality Control <i>or</i> Post-Purchase Quality Control	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Third-Party Quality Control Vendor Monitoring & Oversight	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Secondary Marketing	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
If applying for Servicer approval , does your company also have experienced personnel in each of the following key areas?							
Investor Reporting, Reconciliation	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Custodial Account Reconciliation	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Cash Management	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box

Escrow, Loan Administration, Customer Service	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Collections, Loss Mitigation	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Bankruptcy, Foreclosure, Post-Foreclosure	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Subservicer Monitoring & Oversight	Yes <input type="checkbox"/> No <input type="checkbox"/>	Three-digit number box	Text box	Three-digit number box	Text box	Text box	Number box
Name of Internal Audit vendor, if outsourced: <input type="text"/>							
Name of Post-Closing Quality Control vendor, if outsourced: <input type="text"/>							
Name of Prefunding Quality Control vendor, if outsourced: <input type="text"/>							
Name of Subservicing Oversight Quality Control vendor, if outsourced: <input type="text"/>							
Does your company have a policy for responding to, managing, and tracking complaints from prospective and current borrowers?							Yes <input type="checkbox"/> No <input type="checkbox"/>
Does your company conduct background checks on employees who are or will be involved in the origination and/or servicing of Fannie Mae-related loans?							Yes <input type="checkbox"/> No <input type="checkbox"/>
Does your company have written policies and procedures for each of the following key areas?							
Bank Secrecy Act / Anti-Money Laundering Oversight	Yes <input type="checkbox"/> No <input type="checkbox"/>	Internal Audit	Yes <input type="checkbox"/> No <input type="checkbox"/>	Vendor Management	Yes <input type="checkbox"/> No <input type="checkbox"/>		
Business Continuity and Disaster Recovery	Yes <input type="checkbox"/> No <input type="checkbox"/>	Anti-Fraud	Yes <input type="checkbox"/> No <input type="checkbox"/>				
If applying for Selling approval , does your company also have written policies and procedures for each of the following key areas?							
Underwriting	Yes <input type="checkbox"/> No <input type="checkbox"/>	Appraisal Management	Yes <input type="checkbox"/> No <input type="checkbox"/>	Prefunding Quality Control or Pre-Purchase Quality Control	Yes <input type="checkbox"/> No <input type="checkbox"/>		
Post-Closing Quality Control or Post-Purchase Quality Control	Yes <input type="checkbox"/> No <input type="checkbox"/>	Third-Party Quality Control Vendor Monitoring & Oversight	Yes <input type="checkbox"/> No <input type="checkbox"/>	Secondary Marketing	Yes <input type="checkbox"/> No <input type="checkbox"/>		

If applying for Servicing approval , does your company also have written policies and procedures for each of the following key areas					
Investor Reporting, Reconciliation	Yes check box No check box	Custodial Account Reconciliation	Yes check box No check box	Cash Management	Yes check box No check box
Escrow, Loan Administration, Customer Service	Yes check box No check box	Collections, Loss Mitigation	Yes check box No check box	Bankruptcy, Foreclosure, Post-Foreclosure	Yes check box No check box
Subservicer Monitoring & Oversight	Yes check box No check box				

Section VII: Conflicts of Interest

To the best of your knowledge, do any current or former Fannie Mae employees, or family members of current or former Fannie Mae employees, serve as officers in your company?	Yes check box No check box
To the best of your knowledge, do any current or former Fannie Mae board members, or family members of current or former Fannie Mae board members, serve as officers in your company?	Yes check box No check box
To the best of your knowledge, do any current or former Fannie Mae employees, or family members of current or former Fannie Mae employees, own a financial interest in your company that is equal to or greater than 5%?	Yes check box No check box
To the best of your knowledge, do any current or former Fannie Mae board members, or family members of current or former Fannie Mae board members, own a financial interest in your company that is equal to or greater than 5%?	Yes check box No check box
To the best of your knowledge, do any current or former Fannie Mae employees, or family members of current or former Fannie Mae employees, serve on your company's Board of Directors?	Yes check box No check box
To the best of your knowledge, do any current or former Fannie Mae board members, or family members of current or former Fannie Mae board members, serve on your company's Board of Directors?	Yes check box No check box
Does your company provide any goods and services to Fannie Mae for a fee in addition to the goods and services you provide as a seller/servicer, e.g., does your company provide vendor services to Fannie Mae?	Yes check box No check box

Section VIII: Certification and Signature

The undersigned Company ("Applicant") hereby represents and warrants and agrees that: (1) all information provided in this Application or submitted as part of or in connection with the Application process (the "Application Package") is or will be complete and accurate at all times (from initial submission until the final approval decision by Fannie Mae), and (2) Applicant will promptly notify Fannie Mae of any material change in the Application Package after initial submission until the final approval decision by Fannie Mae. The Applicant understands that Fannie Mae will rely upon the information provided in the Application Package and that any misrepresentation or omission may constitute a civil or criminal violation and may be cause for suspension or termination as a Fannie Mae seller and/or servicer if the Applicant is approved.

The Applicant understands and agrees that Fannie Mae is under no obligation to grant approval of Fannie Mae seller and/or servicer status.

Limitation on Application Withdrawal Request. The Applicant understands and agrees that after Fannie Mae has notified Applicant that the Application Package is complete and has received the nonrefundable Application Review Fee (if any), then Fannie Mae will not accept an Application Withdrawal Request and will issue a final decision.

The undersigned individual signing this Application represents and certifies that the individual is duly authorized to sign this statement on behalf of the Applicant and, if using an electronic signature, that the Applicant consents to the use of electronic records and signatures in its transactions with Fannie Mae, including the Application Package, and intends to be bound by such electronic signature just as if it were an ink signature on paper.

Company Name (Applicant): **Text box**

Printed Name: **Text box**

Title: **Text box**

Signature: **Allow hand-written or electronic signature**

Date: **Date box**

Thank you for your interest in becoming a Fannie Mae approved seller and/or servicer. Please submit the fully completed Application via email to sellerservicer_application@fanniemae.com.

Note: Fannie Mae reserves the right to update its approval criteria and conditions, regardless of the information requested in this Application.

SAMPLE